1	A low-cost hyperspectral scanner for natural imaging
2	above and under water
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14	Figures
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18 19 20 21	<b>Abbreviations used.</b> C <sub>1-3</sub> : Chromatic axes 1-3; MWS: Middle Wavelength Sensitive; PC: Principal Component; PCA: Principal Component Analysis; PVC: Polyvinyl Chloride; RGBU: Red-Green-Blue-Ultraviolet; SNR: Signal-to-Noise Ratio; TTL: Transistor-Transistor Logic; UHI: Underwater Hyperspectral Imager; USB: Universal Serial Bus; UV: Ultraviolet
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#### 34 ABSTRACT

Hyperspectral imaging is a widely used technology for industrial and scientific purposes, but the high cost and large size of commercial setups have made them impractical for most basic research. Here, we designed and implemented a fully open source and low-cost hyperspectral scanner based on a commercial spectrometer coupled to custom optical, mechanical and electronic components. We demonstrate our scanner's utility for natural imaging in both terrestrial and underwater environments. Our design provides sub-nm spectral resolution between 350-1000 nm, including the UV part of the light spectrum which has been mostly absent from commercial solutions and previous natural imaging studies. By comparing the full light spectra from natural scenes to the spectral sensitivity of animals, we show how our system can be used to identify subtle variations in chromatic details detectable by different species. In addition, we have created an open access database for hyperspectral datasets collected from natural scenes in the UK and India. Together with comprehensive online build-and use-instructions, our setup provides an inexpensive and customisable solution to gather and share hyperspectral imaging data.

#### 64 INTRODUCTION

Hyperspectral imaging combines spatial and detailed spectral information of a scene to 65 construct images where the full spectrum of light at each pixel is known<sup>1</sup>. Commercial 66 hyperspectral imaging technology is used, for example, in food industry<sup>2,3</sup>, agriculture<sup>4,5</sup> and 67 astronomy<sup>1</sup>. However, these devices are typically expensive, lack the ultraviolet (UV) part of 68 69 the spectrum and only few work under water. Moreover, many are bulky and must be attached 70 to a plane or other heavy machinery, which makes them unsuitable for most basic research. 71 Here, we present a low-cost and open source hyperspectral scanner design and demonstrate its utility for studying animal colour vision in the context of the natural visual world. 72

Animals obtain sensory information that meets their specific needs to stay alive and to reproduce. For many animals, this requires telling wavelength independent from intensity – an ability widely referred to as colour vision. To study what chromatic contrasts are available for an animal to see in nature requires measuring the spectral content of its environment (natural imaging) and comparing this to the eye's spectral sensitivity.

Most previous work on natural imaging to study animal colour vision used sets of spectrally 78 79 narrow images generated by iteratively placing different interference filters within the range of 400-1,000 nm<sup>6-9</sup> in front of a spectrally broad sensor array. So far, a major focus has been on 80 our own trichromatic visual system that samples the short (blue "B"), medium (green "G") and 81 long (red "R") wavelength ("human visible") range of the electromagnetic spectrum<sup>6,8,10–12</sup>. 82 However, across animals the number and spectral sensitivity of retinal photoreceptor types 83 varies widely. Perhaps most importantly, and unlike humans, many animals can see in the UV 84 part of the spectrum, which has not been included in available hyperspectral measurements 85 from terrestrial or underwater scenes. Johnsen et al. (2013, 2016)<sup>13,14</sup> used an underwater 86 hyperspectral imager (UHI) to map the seafloor in an effort to identify structures and objects 87 88 with varying depth, but more shallow underwater habitats have not been studied in this way. Finally, in 2013 Baden et al.<sup>15</sup> used a hyperspectral scanner based on a spectrometer reaching 89 the UV spectrum of light and an optical fibre controlled by two servo motors. With their setup 90 91 it is possible to build hyperspectral images in a similar way to the design presented here, but 92 the system is both bulky and fragile. In addition, their setup cannot be easily waterproofed 93 because the point of light from the scene is guided with the optic fibre attached to the spectrometer. Our design uses mirrors instead to overcome these shortcomings. 94

Here, we designed and built a low-cost open source hyperspectral scanner from 3D printed parts, off-the-shelf electronic components and a commercial spectrometer that can take full spectrum (350-1,000 nm), low spatial resolution (4.7°) images above and under water. With our fully open design and instructions it is possible for researchers to build and modify their

99 own hyperspectral scanners at substantially lower costs compared to commercial devices 100 (~£1,500 for a spectrometer if unavailable, plus ~£113-340 for all additional components, 101 compared to tens to hundreds of thousands for commercial alternatives). We demonstrate the performance of our system using example scans and show how this data can be used to study 102 103 animal colour vision in the immediate context of their natural visual world. We provide all raw data of these and additional scans to populate a new public database of natural hyperspectral 104 images measured in the UK and in India (https://zenodo.org/communities/hyperspectral-105 natural-imaging), to complement existing datasets<sup>16–18</sup>. 106

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#### 108 METHODS

#### 109 Hardware design.

The device is built around a trigger-enabled, commercial spectrometer (Thorlabs CCS200/M, 110 advertised as 200-1,000 nm but effectively useful above 350 nm). A set of two movable UV 111 reflecting mirrors (Thorlabs PFSQ10-03-F01 25.4 x 25.4 mm and PFSQ05-03-F01 12.7 x 12.7 112 mm) directs light from the scanned scene onto the spectrometer's sensor region via a pinhole 113 (see also Baden et al. 2013)<sup>15</sup>. To gradually assemble an image, an Arduino Uno 114 microcontroller (www.Arduino.cc) iteratively moves the two mirrors via servo-motors along a 115 pre-defined scan-path under serial control from a computer. At each new mirror position, the 116 117 Arduino triggers the spectrometer via a transistor-transistor logic (TTL) pulse to take a single reading. An optional 9V battery powers the Arduino to relieve its universal serial bus (USB) 118 power connection. The entire set-up is encased in a waterproofed housing fitted with a quartz-119 window (Thorlabs WG42012 50.8 mm UVFS Broadband Precision Window) to permit light to 120 121 enter. For underwater measurements, optional diving weights can be added to control 122 buoyancy. All internal mechanical components were designed using the freely available 123 OpenSCAD (www.OpenScad.org) and 3D printed on an Ultimaker 2 3D printer running Cura 2.7.0 (Ultimaker). For detailed build instructions including all 3D files and Arduino control code, 124 the project's GitHub 125 see page at www.github.com/BadenLab/3Dprinting\_and\_electronics/tree/master/Hyperspectral%20scann 126

127 <u>er</u>.

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129 Scan-paths.

Four scan paths are pre-programmed onto the Arduino control code: a 100 point raster at 6° x- and y-spacing (60° x 60°), and three equi-spaced spirals at  $r = \pm 30^\circ$  at n=300, 600 or 1,000

132 points, respectively (Supplementary Figure 1). To generate spirals, we computed n points of a Fermat's spiral: 133

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34 
$$r = \sqrt{\theta \times n}$$

135 
$$\theta = \pi \left(3 - \sqrt{5}\right)$$

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where r is the radius and  $\theta$ , in radians, is the "golden angle" (~137.5°). Next, we sorted points 137 by angle from the origin and thereafter ran a custom algorithm to minimise total path length. 138 For this, we iteratively and randomly exchanged two scan positions and calculated total path 139 140 length. Exchanges were kept if they resulted in path shortening but rejected in all other cases. 141 Running this algorithm for 10<sup>5</sup> iterations resulted in the semi-scrambled scan paths shown in SFig. 1. 142

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#### Data collection. 144

145 All recordings shown in this work used the 1,000-point spiral. Acquisition time for each scan was 4-6 minutes, depending on the time set for each mirror movement (260-500 ms) and the 146 spectrometer's integration time (100-200 ms). These were adjusted based on the amount of 147 light available in the environment to yield an approximately constant signal-to-noise ratio 148 149 (SNR) between scans. In all cases, the scanner was supported using a hard-plastic box to maintain an upright position. All outdoor scans were taken in sunny weather with a clear sky. 150 For details of the underwater measurement done in West Bengal India, see Zimmermann, 151 Nevala, Yoshimatsu et al., 2017<sup>19</sup>. In addition, we took a 180° RGB colour photograph of each 152 153 scanned scene with an action camera (Campark ACT80 3K 360°) or a ~120° photograph with 154 an ELP megapixel Super Mini 720p USB Camera Module.

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#### Data analysis. 156

All data was analysed using custom scripts written in IGOR Pro 7 (Wavemetrics) and Fiji (NIH). 157 To visualise scanned images, we calculated the effective brightness of each individual 158 spectrum (hereafter referred to as "pixel") as sampled by different animals' opsin templates. 159 160 In each case, we z-normalised each channel's output across an entire scan and mapped the resultant brightness map to 16-bit greyscale or false-colour coded maps, in each case with 161 zero centred at 2<sup>15</sup> and range to 0 and to 2<sup>16</sup>-1. We then mapped each pixel onto the 2D plane 162 using a standard fish-eye projection. To map each spiral scan into a bitmap image, we scaled 163

a blank 150x150 target vector to  $\pm 30^{\circ}$  (same as the scanner range), mapped each of *n* scanner pixels to its nearest position in this target vector to yield *n* seed-pixels, and linearly interpolated between seed-pixels to give the final image. The 150 x 150 pixel (60 x 60 degrees) target vector was truncated beyond 30° from the centre to cut the corners which comprised no data points. We also created hyperspectral videos by adding a 3<sup>rd</sup> dimension so that each pixel in the 150 x 150 target vector holds a full spectrum. This way each video is constructed from 800 individual images where one frame equals to 1 nm window starting from 200 nm.

171

### 172 Principal component analysis.

173 For principal component analysis (PCA), we always projected across the chromatic dimension

174 (e.g. human trichromatic image would use 3 basis vectors, "red", "green" and "blue") after z-

- 175 normalising each vector.
- 176

### 177 **RESULTS**

The scanner with water-proofed casing, its inner workings and control logic are illustrated in 178 Figure 1. Light from the to-be-imaged scene enters the box through the quartz window (Fig. 179 1A) and reflects off the larger and then the smaller mirror, passing through a pinhole to 180 181 illuminate the active part of the spectrometer (Fig. 1B). To scan a scene, an Arduino script is 182 started via serial command from a computer to iteratively move the two mirrors through a predefined scan path (Methods and Supplementary Video 1). At each scan-position, the mirrors 183 184 briefly wait while the spectrometer is triggered to take a single reading. All instructions for building the scanner, including 3D part models and the microcontroller control code are 185 186 provided at the project's GitHub page at https://github.com/BadenLab/3Dprinting\_and\_electronics/tree/master/Hyperspectral%20scan 187 188 ner.

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### 190 Scanner performance.

In our scanner design, several factors contribute to the spatial resolution limit of the complete system. These include spacing of the individual scan-points, angular precision of the servomotors, the effective angular size of the pinhole as well as the optical properties of the mirrors and the quartz window. To therefore establish the scanner's effective spatial resolution, we scanned a printout of 8.6° black and white bars in the mid-day sun using a 1,000-point spiral (Fig. 2A, Supplementary Figure 1) and compared the result (Methods) to the original scene

(Fig. 2B, C). The difference between these two profiles approximately equates to a Gaussian blur of 2.36° standard deviation, which effectively translates to ~4.7° as the finest detail the scanner can reliably resolve under these light conditions. While this spatial resolution falls far behind even the simplest commercial digital camera systems, our scanner instead provides 650 nm spectral range and sub-nm resolution that can be used to identify fine spectral details in the scanned scene.

To illustrate the scanner's spectral resolution, we took a 1,000-point scan in the mid-day sun of a blue door and red brick wall (Fig. 2D) and reconstructed the scene based on human red, green and blue opsin templates<sup>20</sup> to assemble an RGB image (Methods, Fig. 2D). From this scan, we then picked two individual "pixels" (blue and red dots) and extracted their full spectra (Fig. 2E). Next, we illustrate the function with examples from terrestrial and underwater scenes.

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#### 210 Natural imaging and animal colour vision.

The ability to take high-spectral resolution images is useful for many applications, including 211 food quality controls<sup>2,3</sup>, agricultural monitoring<sup>4,5</sup> and surface material identification from 212 space<sup>1</sup>. Another possibility is to study the spectral information available for colour vision by 213 214 different animals. Here, our portable, waterproofed and low-cost hyperspectral scanner 215 reaching into the UV range allows studying the light environment animals live in. To illustrate what can be achieved in this field, we showcase scans of three different scenes: a forest scene 216 from Brighton, UK (Figs. 3-5), a close-up scan of a flowering cactus (Fig. 6) and an underwater 217 river scene from West Bengal, India (Fig. 7). In each case, the estimated 60° field of view 218 219 covered by the scanner is indicated in the accompanying widefield photos (Fig. 3A, 4A, 6A, 220 7A). To showcase chromatic contrasts available for colour vision by different animals in these 221 scenes, we reconstructed the forest and cactus data with mouse (Mus musculus), human 222 (Homo sapiens), bee (Apis melifera), butterfly (Graphium sarpedon), chicken (Gallus gallus domesticus) and zebra finch (Taeniopygia guttata) spectral sensitivities (Fig. 5B, 6C). The 223 underwater scan was reconstructed based on zebrafish (Danio rerio) spectral sensitivity (Fig. 224 7B)<sup>20-25</sup>. In addition, we provide hyperspectral movies between 200 and 1,000 nm for these 225 226 three scenes, where each frame is a 1 nm instance of the scanned scene (Supplementary Videos 2-4). These videos illustrate how different structures in the scene appear at different 227 wavelengths. 228

First, we used the data from the forest scene scan to compute how a trichromat human with three opsins (red, green and blue) might see it (Fig. 3). To this end, we multiplied the spectra from each "pixel" with the spectral sensitivity of each of the three corresponding opsins

templates to create "opsin activation maps" (red "R", green "G" and blue "B", Fig. 3A, 232 233 Methods), hereafter referred to as "channels". These false-colour coded, monochromatic 234 images show the luminance driving each opsin across the scene. In this example, the R- and G-channels clearly highlight the dark band of trees in the middle of the scene with varying light 235 236 and dark structures in the sky and on the ground. However, the B-channel shows mainly structures from the sky but provides low contrast on the ground. To illustrate how these 237 channels can be used for our sense of colour vision, we combined them into an RGB image 238 239 (Fig. 3A, right).

To determine what chromatic structures are discernible with human spectral sensitivity, we 240 used principal component analysis (PCA) across the 3-dimensional RGB space by using the 241 R-, G- and B-channels as 3 basis vectors (Fig. 3B, C). In natural scenes, most variance across 242 space is driven by changes in overall luminance rather than chromatic contrasts<sup>6,9,10</sup>. In this 243 type of data, the first principal component (PC1) therefore reliably extracts the achromatic 244 (greyscale) image content. From here it follows that all subsequent principal components 245 246 (PC2-n) must describe the chromatic axes in the image, in decreasing order of importance. 247 For simplicity, we hereafter refer to PC1 as the achromatic axis and PC2, PC3 and (where 248 applicable) PC4 as first, second and third chromatic axes, respectively  $(C_{1,2,3})$ . When applied 249 to the example scan of the forest scene with human spectral sensitivity, the achromatic image with near equal loadings across the R-, G- and B-channels accounted for majority (97.7%) of 250 the total image variance (Fig. 3D-F), in agreement with previous work<sup>6,9,10</sup>. This left 2.3% total 251 variance for the first and second chromatic axes  $C_1$  and  $C_2$  (Table 1). In line with Ruderman 252 et al. (1998)<sup>6</sup>, the chromatic contrasts emerging from PCA were R+G against B (C<sub>1</sub>, long- vs 253 short-wavelength opponency) and R against G while effectively ignoring B (C<sub>2</sub>, Fig. 3E). These 254 two chromatic axes predicted from the hyperspectral image matched the main chromatic 255 comparisons performed by the human visual system ("blue vs. yellow" and "red vs. green"). 256 To show where in the image different chromatic contrasts exist across space, and to facilitate 257 258 visual comparison between animals, we also mapped the chromatic axes into an RGB image such that R displays C<sub>1</sub>, G C<sub>2</sub> and B C<sub>3</sub>. Since the trichromat human can only compute two 259 orthogonal chromatic axes (nOpsins – 1),  $C_3$  was set to  $2^{15}$  (i.e. the mid-point in 16-bit) in this 260 261 example. These PC-based RGB images ignore the brightness variations of the achromatic 262 channel, therefore describing only chromatic information in a scene. This specific projection 263 allows a trichromat human observer viewing an RGB-enabled screen or printout to judge 264 where in a scanned scene an animal might detect dominant chromatic contrasts, even if that animal uses more than three spectral cone types for colour vision. The power of this approach 265 can be illustrated when considering non-human colour vision based on the same dataset. 266

267 Unlike humans, many animals use the ultraviolet (UV) part of the spectrum for vision<sup>26,27</sup>. To 268 illustrate how the addition of UV-channel can change available chromatic information, we next 269 performed the same analysis for a tetrachromatic zebra finch (Fig. 4). This bird uses four, approximately equi-spaced opsins (red, green, blue and UV), which in addition are spectrally 270 sharpened with oil droplets<sup>23</sup>. As before, the monochromatic opsin-channels (RGB and "U" for 271 UV, Fig. 4A) appeared with R- and G-channels showing structures both in the sky and on the 272 ground while B- and U-channels mainly highlighted the sky. We next computed the principal 273 274 components across the now four opsin channels (Fig. 4B-F).

- This time the achromatic axis explained only 92.5% of the total variance leaving 7.5% for 275 chromatic comparisons, which now comprised three chromatic axes (C<sub>1-3</sub>, Table 1). As with 276 humans, the most important chromatic axis compared long- and short-wavelength channels 277 ( $C_1$ , R+G against B+U, single zero crossing in Fig. 4E).  $C_2$  was also similar to the human 278 version by comparing R- and G-channels, but in addition paired the R-channel with the UV 279 280 and the G-channel with the blue (two zero crossings). While the spatial structure highlighted 281 by C<sub>1</sub> was similar to that of the human, C<sub>2</sub> picked up additional details from the ground (Fig. 282 4D). Finally,  $C_3$  (R+B against G+U) highlighted additional structures in the scene that are 283 largely invisible to the human observer.
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#### 285 An animal's opsin complement dictates discernible chromatic contrasts.

To further survey how an animal's opsin complement can affect the way chromatic details are 286 detectable in complex scenes, we compared data from the forest scene (Fig. 5) to a close-up 287 scan of a flowering cactus (Fig. 6) and filtered each using different animals' spectral 288 289 sensitivities: a dichromat mouse, a trichromat human and bee and a tetrachromat butterfly, 290 chicken and zebra finch. In these scenes, the order of the chromatic axes was largely stable 291 across opsin complements used (PC1 – achromatic,  $C_1$  – long vs short wavelengths,  $C_2$  – R+U vs G+B, C<sub>3</sub> - R+B vs G+U), and here we only show the achromatic and C<sub>1-3</sub> 292 reconstructions alongside the PC RGB images (Fig. 5A and 6B) next to the spectral sensitivity 293 of each animal (Fig. 5B and 6C). In each case, the number of chromatic channels shown 294 295 corresponds to the number of an animal's cone types minus 1.

The chromatic axes usable by different animals revealed diverse spatio-chromatic structures from both scenes (Fig. 5 and 6). Across all animals compared, while  $C_1$  still reliably highlighted a long- vs. short-wavelength axis, the exact image content picked up along  $C_{1-n}$  varied between opsin complements (Fig. 5A and 6B). For example, in the cactus scene the  $C_1$  for the chicken highlighted spatial structures in the image that other animals instead picked up with  $C_2$ . A similar difference was also seen in the forest scene, where  $C_2$  and  $C_3$  in butterfly showed structures that were captured in the inverse order in the chicken and zebra finch (Fig. 5A). In
 addition, humans and butterflies had more consistent arrangement and structures in chromatic
 axes between each other than with other animals, possibly due to their similarly overlapping
 spectral sensitivities of the green and red cones.

306 For all animals in both scenes, the achromatic image content captured at least 91.9% of the 307 total variance, leaving 1.4-8.1% for the chromatic axes (Table 1). For the forest scene, the addition of opsin-channels increased the amount of variance explained by the chromatic axes, 308 309 and in particular for animals with widely spaced spectral channels (e.g. with chicken and butterfly, Table 1). In general, more chromatic details was discerned with more cones, 310 especially when these cones had low-overlap spectral sensitivities covering a wide range of 311 312 the natural light spectrum (e.g. from around 350 nm to over 600 nm as with zebra finch). Moreover, spectral sharpening of the opsin peaks through the addition of oil droplets (chicken 313 and zebra finch) brought out further details and higher chromatic contrasts in the scanned 314 315 scene. The order of importance for the chromatic axes that optimally decompose scans 316 depended strongly on the set of input vectors - the spectral shape and position of the animal's 317 opsins.

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#### 319 Hyperspectral imaging under water.

320 As light travels through the water column, water and dissolved particles absorb both extremes of the light spectrum making it more monochromatic with increasing depth<sup>9,28</sup>. Mainly because 321 of this filtering and scattering, underwater light environments have spectral characteristics that 322 323 differ strongly from terrestrial scenes. To illustrate one example from this underwater world, 324 we show a scan from a shallow freshwater river scene (Fig. 7A) taken in the natural habitat of zebrafish (*Danio rerio*) in West Bengal, India<sup>19</sup>. The data was analysed based on the spectral 325 sensitivity of the tetrachromatic zebrafish with red, green, blue and UV sensitive cones (Fig. 326 7B)<sup>21,26</sup>. In this example, the monochromatic R-, G-, and B-channels picked up different 327 dominant spatial structures in the scene, while the U channel appeared more "blurry" with only 328 small intensity differences around the horizon (Fig. 7C). Here, the total variance explained by 329 the chromatic axes  $C_{1-3}$  (14.7%, Fig. 7F) was higher compared to the two terrestrial scenes. 330 331  $C_1$  compared long (R+G) and short (B+U) wavelengths between upper and lower parts of the scene (Fig. 7D, E) that arose from spectral filtering under water. Finally, C<sub>2</sub> and C<sub>3</sub> brought 332 out further details that probably correspond to pieces of the imaged vegetation. 333

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335 An open database for natural imaging.

Based on these and other additional scans above and under water from around the world (for example, see Zimmermann et al., 2017<sup>19</sup>) we created an open access database online

338 (https://zenodo.org/communities/hyperspectral-natural-imaging). All measurements in the

- database are taken with the hyperspectral scanner as described here.
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#### 341 **DISCUSSION**

We have designed and implemented an inexpensive and easy-to-build alternative to commercial hyperspectral scanners suited for field work above and under water. Without the spectrometer ( $\sim$ £1,500), the entire system can be built for  $\sim$ £113-340, making it notably cheaper than commercial alternatives. In principle, any trigger-enabled spectrometer can be used for the design. Alternatively, spectrometers can also be home-built<sup>29,30</sup> to further reduce costs.

The spatial resolution of the scanner with the 1,000-points scan ( $\sim$ 4.7°), though substantially 348 below that of most commercial camera systems, is close to the behavioural resolution limit of 349 several model-animals like zebrafish larvae (~3°)<sup>31</sup> or fruit flies (~1-4°)<sup>32</sup>. Notably, most animal 350 visual systems inherently combine a low-spatial resolution chromatic representation of the 351 visual world with a high-spatial resolution achromatic representation<sup>33–35</sup>. As such, our system 352 can likely also give useful insights into the chromatic visual world of animals with much more 353 354 highly resolved eyes. The spatial resolution of our system could principally be further improved, for example by using a smaller pinhole in combination with higher-angular-precision 355 motors. However, the amount of natural light for vision is limited, especially when imaging 356 under water where light is quickly attenuated with increasing depth. As a result, higher spatial 357 358 resolution in our system would require a substantially increased integration times for each 359 pixel. This would result in very long scan-durations, which is unfavourable when scanning in 360 quickly changing natural environments.

Spatial resolution aside, the spectral range and detail of our scanning approach far exceeds 361 362 the spectral performance of interference filter-based approaches, as used in most previous hyperspectral imaging studies<sup>6,8,9,17,36</sup>. This difference may be crucial for some questions. For 363 example, zebrafish have four opsin-genes for middle wavelength sensitive (MWS) cones 364 ("green cones") that are used in different parts of the retina and are separated in spectral 365 sensitivity by few nanometres<sup>22,37</sup>. Most interference filter setups use relatively broad spectral 366 sensitivity steps and would therefore miss small details in the natural scenes that could be 367 368 picked up with slightly different spectral sensitivities of different opsins. By choosing individual "pixels" and the spectra they hold, it is possible to analyse fine details in complex scenes that 369 370 animals can use for colour vision. This can be done already with very coarse spatial resolution 371 to reveal structures that otherwise would remain undetected. In agreement with previous 372 studies, we have shown how principal component analysis aids to separate achromatic and chromatic information in natural images<sup>6,9</sup>. Here, PCA across the chromatic channels 373 highlights spatio-chromatic aspects in the scene that may be useful for vision. Perhaps not 374 375 surprisingly, this reveals major, overall trends in landscapes (Figs. 3-5) with short wavelength dominated sky and long wavelength dominated ground. This is true also for the underwater 376 habitats (Fig. 7), where light spectrum in the water column transforms from "blue-ish" short 377 wavelength dominated to "red-ish" long wavelength dominated with increasing depth<sup>19</sup>. The 378 379 PCs can also highlight details in complex scenes that might otherwise stay hidden but that may be important for animals to see in their natural habitats. 380

381

#### 382 CONCLUSION

We have shown how our simple, self-made scanner can produce hyperspectral images that 383 can be used to study animal colour vision. We have also started to populate an open database 384 385 of hyperspectral images from various natural scenes 386 (https://zenodo.org/communities/hyperspectral-natural-imaging). In the future, it will be interesting to survey a more varied set of habitats and, for example, to compare how closely 387 related animal species living in different habitats have evolved with varying visual abilities. 388 This could also include variations of the presented design, for example to scan larger fields of 389 view, or a time-automation mode by which the same scene can be conveniently followed over 390 the course of a day. We will be pleased to facilitate other's additions to the design through a 391 centralised 392 project repository (www.github.com/BadenLab/3Dprinting\_and\_electronics/tree/master/Hyperspectral%20scan 393 394 ner) and hope that in this way more researchers will be able to contribute to building a more 395 global picture of the natural light available for animal vision on earth.

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#### 398 FIGURE LEGENDS

#### 399 Figure 1. A Hyperspectral scanner for low-cost natural imaging.

(A) The waterproof casing with a window (white asterisk) for light to enter. The PVC tube on
top protects the cables to the computer. (B) Internal arrangement of parts: the spectrometer,
Arduino Uno microcontroller, 9V battery, two servo motors (Motors 1 and 2) with mirrors
attached to them and a pinhole. Light reaches first the larger mirror underneath the window of

404 the casing, reflects to the smaller mirror and from there through the pinhole to the 405 spectrometer's sensor. Light deflected off the first mirror is partly shadowed by the edges of 406 the casing, which creates dark stripes at the horizontal edges of the scanned images when 407 the box is closed. These edges are cropped in the presented example scans (Figs. 2 and 7). 408 Spectral filtering by the quartz window was corrected for in postprocessing (Supplementary Figure 2). (C) Operational logic. The scanning path is uploaded to the Arduino from the 409 computer via Serial 2 connection to define the motor movements. After each movement the 410 411 spectrophotometer is triggered via TTL to take a measurement and send the data to the 412 computer vial serial. The ongoing state of the scanning path is fed from the control circuit to the computer. (D) Circuit diagram. 413

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#### 415 Figure 2. Scanner performance.

(A-C) A printout of 8.6° black and white bars (A) was scanned with a 1,000 point spiral scanning path (B) to estimate the scanner's spatial resolution. In (C), the average brightness (red) as indicated in (B) is plotted on top of the idealised brightness profile (black). (D) An action camera picture of the blue door + red brick wall measured outdoors and an RGB representation image of the scan when using opsin templates from human spectral sensitivity. Blue and red dots in the RGB representation refer to the two points used to show examples of individual spectra in (E).

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#### 424 Figure 3. An example data set of the forest scene with human spectral sensitivity.

425 (A) A 180° photo of the forest scene with an approximate 60° scanner covered area (left). On 426 the right, monochromatic R-, G- and B-channels were constructed from the scanned data by multiplying spectra from each pixel with the opsin templates (see Fig. 5B, 6C). The RGB image 427 shows the reconstruction built based on the opsin channels. The different colour appearance 428 429 of this RGB reconstruction compared to the photograph is due to differential colour-channel equalisations in the two images. (B) Pixels from the R-, G- and B-channels aligned in the order 430 of the measurement with an arrow on the right indicating the direction of the principal 431 component analysis (PCA). (C) Achromatic and chromatic axes  $C_{1-2}$  aligned in the same order 432 as in the previous image, and then reconstructed back to images in (D) to add the spatial 433 information. The RGB image shows  $C_1$  in red and  $C_2$  in green (blue set to constant brightness). 434 435 (E) Loadings from achromatic and chromatic axes, bars illustrating the amount of input from each opsin channel. (F) The cumulative variance explained (%) for each axis. 436

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#### 438 Figure 4. The forest scene with zebra finch spectral sensitivity.

(A) A still image of the forest scene with the approximated 60° scanner covered area, monochromatic opsin channels (R, G, B, U) and an RGB reconstruction where R is shown as red, G as green and B+U as blue. (B-F) As in Fig. 3, with an addition of the UV channel (U) in all images. The RGB image in (D) displays  $C_1$  in red,  $C_2$  in green and  $C_3$  in blue.

443

#### 444 Figure 5. PC reconstructions of the forest scene.

445 (A) Achromatic and chromatic PCA reconstructions from the forest scene data for a mouse 446 (Mus musculus), a human (Homo sapiens), a bee (Apis melifera), a butterfly (Graphium 447 sarpedon), a chicken (Gallus gallus domesticus) and a zebra finch (Taeniopygia guttata) and 448 PC RGB pictures. The number of chromatic axes equals to the number of cone types minus 1. Again, the PC RGB picture is constructed from chromatic axes  $C_{1-n}$ . In PC RGB, the  $C_1$  is 449 shown as red, C<sub>2</sub> as green and C<sub>3</sub> as blue. (B) Opsin absorption curves showing the spectral 450 sensitivity of the cones for each animal. The pink, blue, green and red curves correspond to 451 452 UV, blue, green and red sensitive opsins, respectively.

453

#### 454 **Figure 6. PC reconstructions of the flowering cactus.**

455 **(A)** A 120° photo of the scanned scene with a flowering cactus and the approximate 60° 456 window (black circle) the scanner can cover. **(B)** Reconstructions for the chromatic axes  $C_{1-n}$ 457 and PC RGB images and the absorption curves for each animal as in Fig. 5.

458

#### 459 **Figure 7. An underwater scene from India with zebrafish spectral sensitivity.**

(A) A 180° photo of the scanned underwater river scene from West Bengal, India, and the approximate 60° scanner covered window. (B) The zebrafish opsin complement. (C) The monochromatic opsin channels (RGBU) and the RGB reconstruction as in Fig. 4. (D) The achromatic and chromatic axes reconstructed back to images to show where in the scene information based on each axis can be found. (E) Loadings from each opsin channel as explained in Fig. 3E. (F) The cumulative variance explained (%) for each axis.

466

Table 1. The total variance explained by chromatic axes C1-n in the forest and cactus
scans.

409	4	69
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# 470 Supplementary Figure 1. Four scanning paths created with the Fermat's spiral across 471 the 60° area.

472 (A) 100 points square, (B) 300 points spiral (C) 600 points spiral (D) 1000 points spiral.

473

### 474 Supplementary Figure 2. Light spectrum with and without the box.

- (A) Spectrometer readings of a clear daylight sky taken through the spectrometer's fibreoptic
  (orange) or through the complete optical path of the scanner (black, i.e. 2 mirrors and a quartz
  window, though lacking the fibreoptic). When purchased, the spectrometer is calibrated with
  the fibreoptic attached. Accordingly, we computed the corresponding correction curve and
- applied it to all scanner data presented throughout this work **(B)**.

480

#### 481 Supplementary Video 1.

A video demonstrating the mirror movements and how light is guided to the spectrometerthrough them.

484

#### 485 Supplementary Videos 2-4.

486 Hyperspectral reconstructions of the three scanned scenes presented in this work, with each487 frame corresponding to a 1 nm instance.

488

#### 489 Author contributions

The scanner was conceived and implemented by NEN and TB. Data was analysed by NEN
using custom scripts written by TB and modified by NEN. The paper was written by NEN with
help from TB.

493

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500

#### 501 **Declaration of Interests**

- 502 The authors declare no competing interests.
- 503
- 504

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597

### Figure 1. A Hyperspectral scanner for low-cost natural imaging.

А

С

Data via serial

Serial 2

Computer



Spectrometer

Arduino Uno

TTL



## Figure 2. Scanner performance.



Figure 3. An example data set of the forest scene with human spectral sensitivity.



Figure 4. The forest scene with zebra finch spectral sensitivity.



# Figure 5. PC reconstructions of the forest scene.



А



Figure 6. PC reconstructions of the flowering cactus.



Figure 7. An underwater scene from India with zebrafish spectral sensitivity.



Tabel 1. The total variance explained by chromatic axes C1-n in the forest and cactus scans.

Variance explained by chromatic axes $C_{1-n}$ (%)				
	Forest (Fig. 5)	Cactus (Fig. 6)		
Mouse	2.6	8.0		
Human	2.3	1.4		
Bee	3.9	8.1		
Butterfly	3.8	3.8		
Chicken	6.7	2.9		
Zebra finch	7.5	6.5		

Supplementary Figure 1. Four scanning paths created with the Fermat's spiral across the 60° area.



Supplementary Figure 2: Light spectrum with and without the box

